# Phonological knowledge in compensation for native and non-native assimilation

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#### **Abstract**

We investigated whether compensation for phonological assimilation in the first language depends on language specific knowledge of phonological processes. To this end, we tested two different assimilation rules, one that exists in English and involves place of articulation, and another that exists in French and involves voicing. Both contrasts were tested on speakers of French and American English. In two experiments using a word detection task, we observed that participants showed a significantly higher degree of compensation for phonological changes that correspond to rules existing in their language than to rules that do not exist in their language (even though they are phonologically possible since they exist in another language). Thus, French participants compensated more for voicing than place assimilation, while American English participants compensated more for place than voicing assimilation. In both experiments, we also found that the non-native rule induced a very small but significant compensation effect, suggesting that both a languagespecific and a language-independent mechanism are at play. Control experiments ensured that changes in stimuli were clearly perceived in isolation, compensation then being due to the phonological context of change, rather than to specific phonetic cues. The results are discussed in light of current models of lexical access and phonological processing.

# 1. Introduction

Understanding how words are recognized in continuous speech presents a particular challenge because the acoustic and phonetic shape of a word may be severely distorted in continuous speech compared to when that word is spoken in isolation. Words in sentences can be up to twice as short as words spoken in citation form. This higher speaking rate results in a number of

acoustic changes due to co-articulation between the segments within and between the words (Church 1987; Liberman, Cooper, Shankweiler, and Studdert-Kennedy 1967; Trubetzkov 1958). Even more dramatically, some languagespecific phonological rules substitute, insert or delete entire segments as a function of speaking rate or phonological context (see Table 1). Such changes can potentially disrupt lexical recognition, since they can neutralize existing contrasts between phonemes, and hence contrasts between lexical items. In English, for example, place assimilation affects coronal stops, which take on the place of articulation of the following stop in connected speech (Barry 1992; Ellis and Hardcastle 2002; Nolan 1992). Hence the compound football may be realized as foo[p]ball. In French, voicing (glottal) assimilation voices obstruents before voiced obstruents, and devoices them before unvoiced obstruents (Dell 1995; Féry 2003; Wetzels and Mascaró 2001; Snoeren, Hallé and Segui 2006). So, the same word football tends to be realized as foo[d]ball. Such rules are common across the world's languages and tend to be productive, applying systematically to novel items. Moreover, when several rules coexist in a language, they can be chained to one another, resulting in large changes in surface word forms. For instance in French, the rules of nasal-obstruent simplification or word-final liquid deletion (Casagrande 1984; Dell 1995; Féry 2003) can be chained with regressive glottal assimilation: the sequence table carrée [tabl+kase] 'square table' can thus become [tapkase] in casual speech.

Even though there is considerable debate in the phonetic literature as to whether the phonetic change is complete or leaves traces of the original segment (Ellis and Hardcastle 2002; Féry 2003; Féry et al., this volume; Nolan 1992), it remains true that these rules substantially affect the phonetic shape of words. This in turn may render the identification of lexical entries problematic. The surprising fact is that these phonological changes seem to matter very little in everyday continuous speech recognition. In fact, most people are not even aware of the existence of these phonological changes. This calls for an explanation. What are the mechanisms responsible for robust lexical access despite near neutralizing changes induced by phonological rules?

Language, Type of rule	Source	Featural Rule Description	Example
French, Regressive devoicing	Dell 1995; Féry (2003)	$Obs_{[-vd]} \rightarrow Obs_{[-vd]} / \_$ (#)	robe sale ro/ <u>b</u> #s/ale 'dirty dress' → [ʀɔpsal]
Dutch, Progressive devoicing	Wetzels and Mascaro (2001)	$Obs_{[-vd]} \rightarrow Obs_{[-vd]}$ $Obs_{[-vd]}(+)$	/v/allen 'to fall' o/p+v/allen 'to strike' → o[pf]allen
English, Regressive place	Wells (1982: 55)	$C_{[cor]} \to C_{[vel]}  /  \_  (\#) C_{[vel]}$	$good\ girl\ goo/ar{\mathbf{d}}$ #g/irl $\rightarrow$ [goggs:1]
German, Progressive place	Wiese (1996)	$C_{[cor]}  o C_{[lab]} / C_{[lab]} -$	geben 'to give' /gebən/ → [gebṃ] halten 'to hold' /haltən/ → [haltṃ]
Turkish, [back] Harmony	Roca and Johnson (1999: 154)	$V \rightarrow V_{[+ \text{back}]} / V_{[+ \text{back}]} C_0 + C_0 - C_0 + C_0$	[ip+in] 'rope' (Gen.sg.); [sap+un] 'stalk' (Gen.sg.)
French, Liquid deletion	Dell (1995)	$Liq \rightarrow \emptyset / Obs\_\#Obs$	table jaune 'yellow table' /tabl $=$ /table jaune 'yellow table'
French, Nasal- obstruent simplification	Féry (2003)	$\tilde{V}Obs \to \tilde{V}N  /  \_(\#)N$	Langue maternelle 'native language' lan/g#m/aternelle → lan[ŋm]
English, r - insertion	Wells (1982: 58)		[sireJos] → [sofa#iz/ → [sofa#iz]

We review three classes of mechanisms that have been proposed in the literature. We call them *lexical compensation*, *phonetic compensation* and *language-specific phonological inference*. Models presented within a class are not assumed to be interchangeable, and the grouping of models into classes is based on predictions models make regarding three crucial features of compensation. The purpose of this paper is not primarily to distinguish between processing architectures or modeling details (which would require many more experiments), but rather to understand more in depth some aspects of compensation, given contradictory evidence in the literature.

# 1.1. Lexical Compensation

The first class of compensation mechanisms uses lexical knowledge. Since we know the words in our language, we can match the incoming signal with our stored list and pick the closest and/or most likely candidate available. This strategy essentially treats phonetic variation as random noise, and uses lexical and higher-order context to recover the signal from that noise. It is actually put to use in several speech recognition systems, and their mere existence attests the feasibility of such a mechanism. There is some evidence in psycholinguistics that lexical access incorporates robust mechanisms that resist input degradation. For instance, in running speech, lexical recognition is resistant to mispronunciations; participants might even have a difficult time to detect mispronunciations in fluent speech (Marslen-Wilson and Welsh 1978), and 'hallucinate' phonemes replaced by noise on the basis of lexical (and phonetic) proximity (Samuel 1981, 1996, 2001). Recent models of lexical recognition have implemented such robustness by relying on multiple activation of lexical candidates and competition between them (see the *Cohort* model, Marslen-Wilson and Welsh 1978; the TRACE model, McClelland and Elman 1986; and Shortlist, Norris 1994). This insures that whenever a degraded input is presented, several lexical candidates will be activated. Lexical competition, plus potentially higher-order expectations, ensures that the most plausible candidate is finally selected (Gow and Gordon 1995).

Although mechanisms like phoneme restoration may account for part of phonological compensation effects, they fail to distinguish between one-feature mispronunciations (which are often noticed) and one-feature assimilations (which are hardly ever noticed). Lahiri and Marslen-Wilson (1991, 1992) therefore developed a model of compensation based on underspecifi-

cation theory (Archangeli 1988; Kiparsky 1985; Pulleyblank 1988), which explicitly implements regular phonological variation within lexical representations: They assume featurally "underspecified" lexical representations for words (FUL, Featurally Underspecified Lexicon, see Lahiri and Reetz 2002), for precisely those features that display regular variation. For instance, in English coronal stops would be unspecified for place, whereas labial or velar stops would be specified for place. Words containing coronal stops would thus have a gap in their featural specification; as a consequence, a deviant phonetic input could be mapped onto an unspecified segmental slot. Therefore, even if the sensory input differed in one position by one feature, its representation could nevertheless activate the appropriate lexical entry (see also Marslen-Wilson, Nix, and Gaskell 1995). This theory predicts an asymmetry in the recognition of lexical items depending on whether or not they contain unspecified segments. Using cross-modal priming, Lahiri and Reetz found that the deviant nonword stimulus \*Bah[m] triggered as much priming for the target Zug ('train' semantically related to Bahn) as the unchanged word Bahn 'railway' (where the coronal /n/ is assumed to be unspecified for place). In contrast, and consistent with their prediction, the deviant stimulus \*Lär[n] did not prime the target Krach 'bang', whereas the unchanged word Lär[m] 'noise' did (/m/ being specified as labial, only labials could map onto this slot). Note however that this result was not replicated by Gow (2001) who found equal priming for two similar conditions in English. Although the underspecification model cannot be fully equated with other models of lexical compensation, the predictions of all these models are similar.

Lexical compensation mechanisms have two crucial features. First, they rely on stored lexical items, and hence only work for restoring the phonological shape of actual words – not nonwords. Second, in their rudimentary form, they are insensitive to phonological context: the best-matching lexical item is selected based on the local phonetic cues and optionally the semantic and/or syntactic context. Crucially for the present experiments, the activation and selection of the most appropriate lexical item does not take into account the phonological context in which the changes occur, and whether these changes are systematic in the language or not.

Regarding the first feature (compensation for nonwords), most studies have used real words to assess compensation for assimilation. Using phoneme detection though, Gaskell and Marslen-Wilson (1998) found results with nonwords that were parallel to those of real words, although the amplitude of the effect was smaller. This effect on nonwords is impossible to account for

with lexical compensation and suggests that compensation for assimilation is at least partly due to a non-lexical mechanism (see also Gaskell, Hare, and Marslen-Wilson 1995; Mitterer and Blomert 2003; Mitterer, Csépe, and Blomert 2003; Weber 2001, 2002).

Regarding the second feature (sensitivity to context), there is some robust evidence that compensation is sensitive to the segmental context in which the change occurs. For instance, Gaskell and Marslen-Wilson (1996) used cross-modal priming to examine compensation for place assimilation in English and observed more priming when the context was viable (leam#bacon  $\rightarrow$  LEAN) than when it was unviable (leam#gammon  $\rightarrow$  LEAN). These results were replicated and extended using other methods and assimilation processes by Coenen, Zwitserlood and Bölte (2001), as well as by Mitterer and colleagues (Mitterer and Blomert 2003; Mitterer, Csépe, and Blomert 2003). This sensitivity to context is not predicted by the compensation model based on underspecification (see above), where \*Bah[m] is expected to be recognized as a token of Bahn, without any influence of the context. In sum, it seems that one crucial property of lexical compensation mechanisms, i.e. insensitivity to phonological context, does not hold for phonological compensation.

A third crucial feature is related to the language-specificity of context sensitive compensation. Indeed, the use of context for compensation could originate in sensitivity to perceptual salience which would be different across phonetic contexts. This possibility predicts that context effects and compensation are to be found also for processes that don't exist in the language, as long as the appropriate context is given. Alternatively, context effects could also reflect the application of a kind of phonological knowledge, e.g. a familiarity with a particular type of modification (language-specific knowledge of the processes at work in a given language). This option limits compensation phenomena and context effects to those processes that exist in a language. Contradictory results in the literature mirror a vivid debate as to whether compensation reflects language-specific knowledge or not. This third crucial feature is exactly the point of divergence between the two remaining classes of models.

Let us review first some of the evidence in favor of phonetic compensation, which is not dependent on language-specific processing, but rather takes place at a lower level of processing.

# 1.2. Phonetic compensation

This class of compensation mechanisms is based on acoustic/phonetic processes. The idea is to deal with compensation for phonological variation using those mechanisms that compensate for phonetic variation or coarticulation. Several decades of research in acoustic/phonetics have shown that acoustic cues relevant to a given segment are temporally spread out across adjacent positions (Bailey and Summerfield 1980; Stevens 1998). It has also been shown that the perceptual apparatus of listeners integrates multiple cues to the same feature (Best, Morrongiello, and Robson 1981; Hodgson and Miller 1996; Parker, Diehl, and Kluender 1986; Repp 1982; Sinnott and Saporita 2000; Summerfield and Haggard 1977; Treiman 1999). These effects seem to hold across languages, and might even not be specific to humans, since compensation for coarticulation has been observed in birds (e.g. Lotto, Kluender, and Holt 1997).

Gow (2001, 2002a, 2003) proposed a language independent processing mechanism called Feature Cue Parsing to handle both coarticulation and systematic phonological variation. In this mechanism, temporally distributed acoustic cues of feature values are grouped and integrated into segmentally aligned phonetic features (see also Fowler 1996; Fowler and Brown 2000). Gow's specific proposal is that feature parsing can account both for coarticulatory compensation and compensation for phonological assimilation, at least in the (frequent) cases where assimilation is not complete. Indeed, in most cases, the target phoneme contains phonetic traces or partial cues of the original unassimilated form (Ellis and Hardcastle 2002; Nolan 1992). The principle of feature parsing is the following: Complex segments that simultaneously encode two places of articulation are parsed onto two adjacent segmental positions, when the following context may attract one of the features. Attraction may take place when the following segment shares the same place of articulation as one of the two encoded in the preceding segment (Gow and Zoll 2002: 58, example 2). As a result, feature parsing may suffice to give an account of compensation for phonological rules, because the information used to parse the input is provided by the phonetic signal alone. For this same reason, this process is assumed to be language-independent. Supporting evidence is found in Gow (2001, exp. 1), where one existing process (place assimilation from coronals to labials, e.g. green becoming [grim]) was tested against a non-existing one (place assimilation from labials to coronals, e.g. glum becoming [glun]). No effect linked to experience with a given phonological assimilation process emerged (same priming effect in a lexical decision task, see also Gumnior, Zwitserlood and Bölte 2005, for asimilar lack of context effect in German).

Note that although Feature Parsing may work when assimilation is incomplete, it does not provide an appropriate explanation when assimilation is complete: in this case, articulatory features are not spread across adjacent segments. Yet, several experiments have shown that compensation does occur with tokens that were deliberately produced with complete assimilation of the target phoneme (Coenen, Zwitserlood and Bölte 2001; Gaskell and Marslen-Wilson 1996, 1998; Mitterer and Blomert 2003). Further, Nolan (1992) and Ellis and Hardcastle (2002) demonstrated that a substantial proportion of spontaneous place assimilatory changes in English seem to be complete: that is, they left no detectable acoustic traces of the underlying phoneme. In addition, Feature Parsing would have trouble handling cases in which assimilation apparently skips over 'transparent' consonants, like [m] in the Russian phrase /iz#mtsenska/ [is#mtsenska] 'from Mcensk' (Hayes 1984, Jakobson 1956). Similarly, cases where listeners are confronted to elision, insertion or a combination of several processes would be hard to explain. Thus, although the Feature Parsing model could account for cases of partial assimilation, it does not seem to be powerful enough or abstract enough, to deal with the full spectrum of phonological variation.

# 1.3. Language-specific phonological inference

A third class of mechanisms has been proposed to deal specifically with phonological sources of variation: phonological inference. This was first developed in Marslen-Wilson, Nix and Gaskell (1995). Basically, phonological inference would be a language-specific mechanism that undoes the effect of assimilation rules that apply during phonological planning in production. Whether this is obtained through some kind of rule-based "reverse" phonology (Gaskell and Marslen-Wilson 1996, 1998, 2001), or through a statistically based recurrent connectionist model (Gaskell, Hare and Marslen-Wilson 1995; Gaskell 2003), the principle is the same (even though processing issues are quite different). Such language-specific phonological inference mechanisms can account for the experimental results found with complete assimilation tokens presented above (Coenen, Zwitserlood and Bölte 2001; Gaskell

and Marslen-Wilson 1996, 1998). Crucially, they also predict that the pattern of compensation should depend on the listener's language.

Several studies have been investigating the perception of assimilated forms in a variety of languages, such as English (Gaskell and Marslen-Wilson 1996, 1998), Dutch (Koster 1987; Quené, van Rossum and van Wijck 1998), Japanese (Otake, Yoneyama, Cutler, and van der Lugt 1996), German (Coenen, Zwitserlood and Bölte 2001; Weber 2001), Hungarian (Mitterer, Csépe and Blomert 2003) and French (Hallé, Chéreau, and Segui 2000; Rigault 1967; Snoeren, Hallé and Segui 2006). Up to now, a few of them (Mitterer, Csépe and Blomert; Otake et al.; Weber) present evidence in favor of such languagespecific effects. However, they include a cross linguistic design in which listeners are presented with non-native phonology or ill-formed sequences. These results are therefore contingent on the problem of non-native speech perception and/or of phonotactic violations. In Mitterer, Csépe and Blomert (2003), Hungarian and Dutch listeners had to identify the Hungarian word /bal/ 'left', which can be realized with a final [r] (rather as [ba] with a complex articulation) when concatenated to the suffix [ro:1] 'from the' (i.e. [barro:1]), but only as [bal] before the suffix [na:1] 'at the'. Therefore, the realization [barna:1] is an inappropriate assimilation. The identification task involving compensation and access to a lexical representation produced context effects and language-specific effects: Hungarian listeners had an identification bias towards the canonical [bal]-form when hearing the viable assimilation [barro:1]. This bias was absent in Dutch listeners, who were unable to identify (i.e. to decide whether they hear [bal] or [bar]) the syllables in the viable context – without ([balro:1]), or with assimilation ([barro:1]). However, clear conclusions are difficult due to the fact that these non-native listeners are hearing both nonwords and non-native phonemes. This result could thus be due to a more difficult discrimination, as shown by the authors. Indeed, they found an important difference between identification and discrimination tasks. For both groups, discrimination is more difficult in viable, than unviable contexts, and showed no effect of native language, indicating that it might be performed on the basis of lower-level, universal representations. When engaged in identification tasks, Dutch listeners don't seem to make use of the phonetic information given through the complex articulation in the stimuli, which would enable them to compensate for the change as do Hungarians. The authors conclude that identification performance seems to be influenced by language-specific experience (Mitterer, Csépe and Blomert 2003: 2323).

Other cross-linguistic evidence comes from Otake et al. (1996), showing that Japanese, but not Dutch listeners, were able to use nasal place assimilation in Japanese words (e.g. in *tonbo* 'dragonfly', where /n/ is realized as [m] vs. konto 'tale', with a dental [n]) to predict the post-assimilation context. This was the case despite the fact that the process tested (place assimilation in nasals) is present both in Japanese and in Dutch phonology (being optional in Dutch and obligatory in Japanese). Interestingly, Koster (1987) found that Dutch listeners were able to detect "a word ending in /n/" in assimilated [mb] sequences, but slower and with more errors than when it had no assimilation (groe[m] boek, vs. groen book). In this experiment (Koster 1987: 98 – 102), words were produced with "complete neutralization", and half of the targets were having a lexical counterpart (lijn – lijm 'line – glue' are both words), half were not (groen 'green' but \*groem). For Dutch listeners, therefore, a change from [n] to [m] is neutralizing and potentially blurs a lexical distinction. In Japanese, moraic nasals are never contrasting with respect to place of articulation, there is no possible word \*komto in Japanese (only non moraic nasals are contrasting in place of articulation, tamago 'egg' vs. tanuki 'rakoon' or tanako 'tenant'). The difference in behavior between Dutch and Japanese listeners may be due to the fact that Dutch listeners are hearing both nonwords and a different phonetic system, while Japanese might show compensation because this kind of assimilation in Japanese is obligatory and therefore, the canonical underlying representation itself might reflect assimilation. Again, like for Mitterer, Csépe and Blomert (2003), conclusions are subject to the interpretation that Dutch listeners may not be able to perceive the moraic nasals in the same way as Japanese listeners do.

In Weber's study (2001), phoneme monitoring for the German fricative /x/ was used to test whether non-native listening is influenced when the non-native input violates a native assimilation rule (fricative assimilation in German (la[x]t 'laugh' vs. li[c]t 'light'), being violated in Dutch nonword stimuli, e.g. [lixt]). Results showed that German, but not Dutch, listeners responded with a pop-out effect to violation of the German fricative assimilation rule. This effect is visible with non-native input though: the stimuli were recorded by a Dutch native speaker, and "sounded Dutch" (Weber 2001: 101). In experiment 3 and 4 of her experiments, the design avoided the problem of presenting non-native input, but stimuli still contained a violation in the domain of phonotactics, where assimilation is obligatory in German (fricative assimilation and regressive nasal assimilation within syllables). Her results

are therefore not directly informative with respect to the processing of legal native sequences.

So far, evidence for language-specific listening has been obtained mainly through presenting non-native input to participants. In these conditions, such differences could also be due to violations of phonotactic constraints, or to unfamiliar sound categories, or even to syllable structure, in short, they are contingent on the problem of non-native speech perception. Therefore, the question remains, at least in the case of compensation for assimilation, whether processing of legal sequences in a native phonology is also dependent on phonological knowledge, or whether any change potentially reflecting assimilation would give rise to language-independent compensation effects (as suggested by Gow's results, 2001). In this sense, clear evidence in favor of language-specificity in processing native input is rather sparse. In sum, all these results indicate some language-specific elements in the processing of assimilated sequences, but do not give enough information about the way a possible model of word recognition would deal with assimilated words in a native language.

# The present study

In order to further refine our understanding of language-specificity in compensation for assimilation, we designed a series of experiments, using a cross linguistic design but avoiding the problem of non-native speech perception. We included within the same language a native process as well as a non-native one, using exclusively the native categories of the listeners. We chose two comparable processes: regressive voicing and place assimilation. The first one exists in French, but not in English, whereas the second one exists in English, but not in French. Nevertheless both processes potentially neutralize phonemic contrasts of both languages. We therefore constructed French sentences containing occurrences of voicing assimilation (the native process) as well as occurrences of place assimilation (a non-native process). The same was done for English sentences.

In our experiments, listeners are processing only native speech, legal sequences and native phonetic categories in both conditions (place and voicing). Therefore any difference in compensation pattern that might emerge between the two conditions is hypothesized to reflect the use of languagespecific knowledge of the process involved, rather than to differences arising from non-native speech processing.

As did most previous experiments on compensation for assimilation, we also considered context effects: occurrences of assimilation in our stimuli are either appropriate (i.e. surfacing in a suitable context for assimilation) or inappropriate (i.e. the context is normally not a trigger for the modification). Context effects are important because they show how the same sound can be interpreted differently when its phonological context is taken into account. We then distinguish two dimensions of modification in our stimuli: the native vs. non-native type of process, and within each, the appropriate (viable) vs. the inappropriate (unviable) context for the change. We also included a condition in which the target word surfaced without any change, to ensure that in this case, detection is robust. Table 2 summarizes these experimental conditions.

*Table 2:* Experimental conditions for each type of process (native vs. non-native). Examples given for English stimuli.

Condition	Place (native)	Voicing (non-native)
viable unviable no-change	we[p] pants we[p] socks wet shoes	bla[g] glove bla[g] rag black rug

The task we use is word detection: this is similar to identification, except that the actual response of the subject is a "similarity interpretation" rather than a "choice between two forms": targets words are presented auditorily and followed by a sentence containing the target. But in the sentences, the targeted word surfaces either with a change (viable or not) or without any change (baseline). Participants are requested to press a button when they think that the target presented is the same in the sentence. A *yes* response then indicates that the word in the sentence is treated as a token of the target. A *no* response indicates that the change altering the word blocks its interpretation as a token of the target. This design then permits to obtain a measure of the degree of tolerance for modifications altering word forms. This is what we understand as *compensation*, i.e. when a change is compensated for, undone, in order to recover the "original/canonical" form of the word. If we see a difference in compensation between the native and the non-native type of

change, this would be evidence in favor of the use of some knowledge of phonological processes during word recognition. In Experiment 1, French listeners are hearing French sentences, in Experiment 2, American English listeners hear American English sentences.

# 3. Experiment 1

#### 3.1. Method

#### 3.1.1. Stimuli

Thirty-two target items were selected. They were all monosyllabic French nouns, with a C(C)VC structure. The target items consisted of two sets of 16 items: the Voicing Set and the Place Set, that were matched in average frequency (Place: 4238; Voicing: 4837, t(15) = -0.4, p > .1) according to the Brulex Corpus (frequency per 100 millions, from Content, Mousty and Radeau 1990, see the complete list of items in Appendix I). In the Voicing Set, all items ended in a final obstruent that was voiced for half of the items, and unvoiced for the other half. Sixteen matched nonwords ([nw]) were constructed by switching the voicing feature of the final obstruents (e.g. robe /rob/ 'dress' - rope /rop/ [nw], or lac /lak/ 'lake' - lague /lag/ [nw]). In the Place Set, final consonants were all coronal; half were nasals and half were stops. Sixteen matched nonwords were obtained by a change in the place feature (12 towards labial, 4 towards velar) of the final consonant (e.g. moine /mwan/ 'monk' - moime /mwam/ [nw] or guide /gid/ 'guide' - guibe /gib/ [nw]). Each of the 32 target items was associated with a triplet of context words. In French, context words were always adjectives since the standard noun phrase has the shape 'determiner noun adjective'. Each adjective in a triplet corresponded to one of the experimental conditions: viable change, unviable change, and no-change. For the viable change condition, the adjective's initial consonant was an obstruent agreeing in voicing or in place with the nonword matched to the target item, depending on the item set (e.g. in the Voicing Set: rope sale /ropsal/ 'dirty dress[nw]' i; in the Place Set: moime bavard /mwambavar/ 'talkative monk[nw]', respectively. The adjectives in the unviable change and no-change conditions both started with a neutral consonant which was not involved in the relevant assimilation process. For the voicing set, this neutral consonant was always a sonorant (nasals and liquids, as well as the standard French uvular fricative [1], that does not trigger voicing

assimilation in French. In the Place Set, this neutral consonant was a sonorant, a coronal or labiodental fricative, or the coronal stop [d]; none of these consonants is involved in place assimilation in English. In all 3 conditions of both the Voicing and the Place set, the association (pseudo)noun-adjective always yielded a legal consonant cluster in French and did not contain any violation of voicing or place assimilation.<sup>2</sup>

Finally, 3 sentence frames were constructed for each of the 32 target items. A sentence frame consisted in a sentence beginning and sentence ending, where each of the three (pseudo)noun-adjective combinations could be inserted and resulted in a plausible sentence (e.g. *Elle a mis sa \_\_ au-jourd'hui*. 'She put on her \_\_ today.'). Globally, the sentence frames were matched in number of words and position of the insertion slots across the Voicing Set and the Place Set. No occurrence of violation of voicing or place agreement occurred in the frames neither. Combining the three conditions with the three sentence frames gave rise to 9 actual sentences associated to each item. This resulted in a total of 288 sentences.

For purposes of counterbalancing, we defined three experimental lists. In each list, all three conditions were present for each item, but in different sentence frames. The sentence frames were rotated across the three lists, so that across the experimental lists all three conditions appeared in all three sentence frames. Thirty additional filler sentences were constructed that were similar to the experimental sentences (same kind of alterations on the target involving one feature, same proportion of identical (1/3) and changed words (2/3)), and served as training (N=18), or distractors (N=12). Modifications involved voicing, manner and place contrasts at the end or beginning of target words, in order to drive participant's attention to the precise form of words (e.g. target "cube" [kyb], filler sentence containing "gube" [gyb]). Crucially, these filler sentences did not contain any case of assimilation in either viable or unviable context, so that the feedback provided here was unambiguous and could not influence later participant's responses on test sentences.

The 288 test, 12 distractor and 18 training sentences were recorded by the first author, a female native speaker of French.<sup>3</sup> The 32 target words for the experimental sentences and 30 targets for filler sentences were recorded by a male native speaker of French. They were digitized at 16 kHz and 16 bits on an OROSAU22 sound board, and edited using the sound preparation software CoolEdit and Praat. The onset of the carrier word and the onset of the following adjective were marked through digital labels.

#### 3.1.2. Procedure

This experiment was run using the *Expe6* stimuli presentation program (Pallier, Dupoux, and Jeannin 1997). The experimental trials consisted in the presentation of the target item (male voice), followed after 500ms of silence by a sentence (female voice). Participants are requested to press a button when they think that the target presented is the same in the sentence, and refrain from pressing otherwise. This instruction – together with the specific training - was given in order to draw their attention on the detail of pronunciation of words, i.e. on the form of words and not to the mere presence or absence of a target word in the sentence. For the same reason only a few distractor sentences were included. This instruction was important in order to make participants understand that they have to be precise in their judgments and not only press yes if they recognized semantically the target word in the sentence. Otherwise, such minimal differences would have been at risk to be ignored in a word detection task. Several studies (McClelland and Elman 1986; Norris 1994) show that a word is still recognizable even if changes altered its canonical form. The degree of "recognizability" is inversely proportional to the word's frequency and neighborhood density. We therefore chose frequent monosyllabic words, in order to augment the importance of any minimal change affecting the word form. Participants are told to respond as quickly as possible, without waiting until the end of the sentence. They were allowed in total 3000ms after the word onset (in the sentence) to make their response. After that delay, the next trial is initiated. Reaction times (RT) were collected but our main measure is the word detection rate for each condition. Using reaction times as the main dependent variable in our experiments was difficult because they were collected only for "yes" responses. As a result, RT are calculated on the basis of a variable amount of yes responses in the different conditions, and would possibly fail to be a valid estimation of the average reaction times.

During the training phase (18 sentences), feedback was provided whenever the participants gave an incorrect response, that is, failed to detect the target word or incorrectly pressed a button for a non-target (the training sentences did not contain any occurrence of viable or unviable context). During the test phase, responses were collected without feedback. The test phase was split into three blocks of 36 trials that were constructed such that a given test item appeared only once within each block. A pause was inserted after each block to allow participants to rest and concentrate. Order of trials within

each block was separately randomized for each participant. The experiment lasted 20 minutes. Instructions appeared on the computer screen, and were completed orally by the experimenter when needed.

# 3.1.3. Participants

Eighteen French native speakers (all grew up monolingually, having only limited and late experience with English) were tested on this experiment, individually and in a quiet room. There were 11 women and 7 men, all living in the Parisian area. They ranged in age from 19 to 28 years. None of them had previously taken part in a similar experiment, and none of them reported any history of hearing impairment. They were randomly assigned to one of the three experimental lists. They were paid for participation.

We expected participants to detect the target words in the no-change condition, and to reject them in the unviable change condition (in this sense, the logic of our experiment is similar to that of Gaskell and Marslen-Wilson 1996, 1998). The performance on these two conditions serves as comparison basis for evaluating the responses in the viable change condition. If participants fully compensate for the phonological rule, they should detect the target word to the same extent as in the no-change condition, despite the fact that the target underwent the same featural change as in the unviable change condition. If there is no compensation for the phonological rule, participants should respond like in the unviable change condition, that is, reject the changed word as a non-target.

# 3.2. Control task: forced-choice judgment on spliced-out target words

To ensure that the critical items' final consonants were unambiguously perceived as changed or unchanged, we first carried out a pretest in which we excised all target words out of the carrier sentences and presented them in isolation in a forced-choice categorization task. Words were presented auditorily and followed by a 3 s. silence, during which participants had to tick the consonant they heard on a response sheet. They always were given a choice between the original consonant and the assimilated one. For the word *robe* 'dress' for example, the choice was between [b] (unchanged) and [p] (underwent voice assimilation). A free cell allowed them to report any better matching sound, if needed. The entire procedure lasted about 18 minutes.

Eighteen French native speakers who did not participate in the other study were recruited to take part in this control experiment.

#### 3.3. Results

We report first the results from the pretest, summarized in Table 3. Standard error (SE) is given in parentheses. Results include the whole data set (all items and participants).

Table 3: Different consonant judgment rate (%) across contrast type and condition for French stimuli (n=18).

	Consonant differen Place (SE)	t from unchanged target (%): Voicing (SE)
viable change	92 (0.9)	95 (0.7)
unviable change	90 (1)	97 (0.5)
no-change	9 (2)	2 (0.2)

This table shows clearly that both change conditions yield in majority "different consonant" responses, there is no significant difference between both change conditions (an Analysis of Variance – henceforth ANOVA – with subjects as random variable, restricted to both change conditions for place and voicing together, yielded no effect of *condition* (F(1,17) = 0.2, p > .6). Items in the no-change condition are judged largely as having a "similar consonant" (to 91% and 98%). Globally, contrast type has no effect either (F(1,17)=4.2,p > .05).

For the word detection task, we checked whether some items triggered too many errors in the baseline conditions, namely the no-change and unviable change conditions. All items that yielded detection values higher than 50% in the unviable change condition (i.e. more than 50% false alarms) or less than 50% in the no-change condition (i.e. more than 50% misses) were excluded. In this experiment, only one voicing item (badge) was dropped.

The percent detection rate was subjected to two ANOVAs, one with participants, one with items as random variable. The by-subjects ANOVA had one between-subjects factor, group (counterbalancing factor, 1, 2 or 3) and two within-subject factors, condition (viable change, unviable change or nochange) and contrast (voicing or place). The by-items ANOVA had one between-item factor, contrast and one within-item factor, condition. We observed a main effect of condition  $(F_1[2,30] = 635.8, p < .0001; F_2[2,58] = 448,$ 

p<.0001), a main effect of *contrast* ( $F_1[1,15]$ =63.8, p<.0001;  $F_2[1,29]$ =54, p<.0001), as well as an interaction between these two factors ( $F_1[2,30]$ =55.2, p<.0001;  $F_2[2,58]$ =37.1, p<.0001), suggesting that the two item sets behaved differently across the three conditions. The *group* factor showed no main effect and did not interact with the other two factors. Similarly, the same analyses declaring the factor *blocks* (1, 2 or 3) instead of *group* revealed that there were no effects of *blocks* in subjects or items, suggesting that repeated presentation of the same word targets across different blocks did not cause any benefit or cost in processing. Mean detection rates are displayed in Figure 1 as a function of contrast and condition.

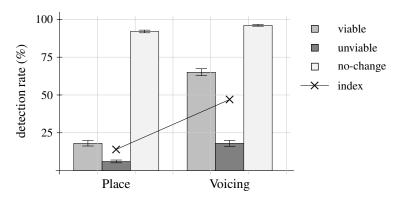


Figure 1: French listeners, French sentences: Detection rate in each condition, for both place and voicing assimilation types, N = 18.

Examination of mean detection rates revealed that the difference between the voicing and the place set was mainly in the viable change condition (65% for the voicing contrast vs. 18% for the place contrast, effect size 47%,  $F_1[1,17]=72.4$ , p<.0001;  $F_2[1,29]=58.7$ , p<.0001). In contrast, the other two conditions behaved similarly for both contrasts (14% vs. 06% in the unviable condition, effect size 8%,  $F_1[1,17]=2.1$ , p>.1;  $F_2[1,29]=2.9$ , p=.094; 96% vs. 92% in the no-change condition, effect size 4%,  $F_1[1,17]=4.4$ , p=.05;  $F_2[1,29]=3.2$ , p=.082).

Reaction times for this experiment are presented in table 4. The ANOVA analysis of mean reaction times restricted to the no-change and viable conditions for the voicing contrast<sup>4</sup>, declaring the factors *group* (between-subject: 1, 2 or 3) and *condition* (within-subject: viable or no-change), revealed no effect of *group* (F[2,15]=0.44, p>.6), but a main effect of *condition* 

(F[1,15]=20.1, p<.0001). Participants responded slower to the viable change condition compared to the no-change condition. No significant interaction between both factors has been observed (F[2,15]=1.3, p>.2).

Table 4:	French listeners,	French	sentences.	Reaction	times	for	each	condition	and
	each contrast.								

Contrast	Condition	RT (ms.)	SD	
Place	Viable	1943	856	
Place	Unviable	2072	1023	
Place	No-change	1635	759	
Voice	Viable	<b>1672</b>	746	←
Voice	Unviable	1868	916	F[1,17]=19.2, p<.0001
Voice	No-change	<b>1566</b>	741	←

Mean times by subjects are comprised between 519 ms and 2107 ms (mean RT for n=18: 1582 ms). The experiment was fairly speeded: the time to make a response was limited, and participants should not wait until the end of the sentence. Overall, it should be noted that this experiment is demanding, speech rate is fast and contrasts are minimal. The slow RT we observed surely do not completely rule out the possibility of strategic responding. But we did our best to limit the risk of such a response pattern in our participants. A concern about offline strategic responding can however be reasonably rejected, as post-hoc analyses revealed no difference about the pattern of results according to slow vs. fast reaction times (ANOVA by subjects including the factor *RT* (fast vs. slow) and the factors *condition* and *type* revealed no interaction of the RT factor with both other factors).

To further refine our analysis, and to allow for a comparison of both sets with each other, we computed for each subject and item an index x of compensation (formula 1) on the basis of the number of yes-responses as a function of condition and contrast type (place vs. voicing). This index calculates the relative value of detection in the viable condition as a function of both other conditions. This allows obtaining the *ratio* of "viable" to "no-change", controlling for response biases, or errors from the "unviable" condition.

(1) Compensation index = 
$$\frac{(detection_{viable\ change} - detection_{unviable\ change})}{(detection_{no\ change} - detection_{unviable\ change})}$$

The index *x* thus corresponds to the *degree* of compensation for either place or voicing type of change. If participants fully compensate for assimilation, they will detect the target word in the viable change condition as often as in the no-change condition: the index will be 1 (since the numerator and the denominator will be equal). If participants do not compensate at all for assimilation, they will respond to the target in the viable change condition as rarely as in the unviable change condition: the index will be 0 (since the numerator will be 0). Values of the index intermediate between zero and one will indicate partial compensation for assimilation.

We computed the compensation index for each participant and each contrast (mean index for participants is 0.65 (65%) for voicing and 0.14 (14%) for place), and used it as the dependent variable in an ANOVA with *contrast* as a within-subject (respectively between-items) factor. We found a significant effect of *contrast*, with a higher index of compensation for voicing than for place, confirming the fact that participants compensate significantly more for voice assimilation than place assimilation (65% vs. 14%, effect size 51%,  $F_1[1,17]=77.4$ , p<.0001;  $F_2[1,29]=51.2$ , p<.0001).

#### 3.4. Discussion

Experiment 1 revealed two main results. First, French participants compensate for voicing assimilation in a context-sensitive fashion: viable contexts give rise to higher detection rates than unviable contexts. These results show a context effect comparable to the one observed by Gaskell and Marslen-Wilson (1998) with English listeners for a native assimilation process in English: place assimilation. We were also able to show that this compensation was not complete, however, since the compensation index only reached 65% (and was significantly different from 100%). This suggests that complete assimilation may not be the most natural case in French and that the word recognition processor is only able to compensate partially for such extreme cases. An alternative explanation could be that participants perform this recognition task integrating information from different processing levels simultaneously (multiple readout hypothesis, similar to Grainger and Jacobs 1996, or to the Race Model, Cutler and Norris 1979): the phonological level, representing a phonological form (recovered or not by a compensation mechanism), the lexical level, and a language independent phonetic level. A similar hypothesis (the dual task) has been evoked by Gaskell and Marslen-Wilson (1998), who observed that detection of phonemes in real words was higher than in nonwords. In our experiment, intermediate compensation (65%) may be the product of combining information from all levels: Faced with a (minimally deviant) word form, the lexical level leads to a "yes" response. The phonological level reinforces a "yes" response when the change is viable or has been compensated, whereas the phonetic form detector yields a "no" response.

The second main result from Experiment 1 is that French participants compensate much less for place assimilation, a rule that does not exist in French (the compensation index is only 14%), than for voicing assimilation. Since Gaskell and Marslen-Wilson (1998) previously obtained sizable compensation for place assimilation with British English participants and sentences (60% /t/-detection in assimilated freigh[p b]earer), this result corroborates that phonological compensation is language-specific. We will come back to this point in Experiment 2.

French participants nevertheless did compensate somewhat for place assimilation: even though the place change does not correspond to an existing rule in French, participants treated 18% of the words appearing in the viable change condition as tokens of the target as opposed to only 6% of the words in the unviable change condition (p<.001). The presence of a (small) context effect for this contrast (index value is 14%) suggests the existence of a language independent compensation mechanism in addition to the languagespecific one; it nevertheless seems to be the case that the universal mechanism has a weak influence compared to the language-specific one, at least in a task involving complete changes. We are currently investigating whether this result reflects a general preference for homorganic consonant clusters, related for example to the high frequency of place assimilation phenomena across the world's languages.

So far, the difference observed in compensation between native and nonnative assimilation suggests that compensation for assimilation reflects a phonological knowledge of these processes: This conclusion stems from the fact that French speakers showed greater compensation for voicing assimilation (a native rule), than for place assimilation (a non-native rule). However, this single experiment can not exclude the possibility that independent phonetic differences between voicing and place induced the results (see discussion section in Experiment 2). Indeed, it could be that voicing cues are intrinsically weaker than place cues in the context tested (VC#CV clusters), thus allowing for an easier acceptance of changed forms as being "the same", i.e. inducing more "compensation" before other obstruents which mask the preceding consonant. It could then happen that native listeners of other languages too would compensate more for voicing than place assimilation, whatever the rules actually present in their native language. At first sight, however, it seems not to be the case that voicing cues are intrinsically weaker than place cues. Indeed, voicing is a quite robust cue for several reasons: first, voicing is periodic in nature, distributed over lower regions of the spectrum than place, making it more robust to noise (Wright 2004). Second, because different acoustic parameters are involved (to name just a few: Vowel duration, duration of voiced portion in closure, closure duration, VOT-lag, F0) which all contribute to the voicing distinction (see Kohler 1984; Kingston and Diehl 1994, among others), listeners probably have more converging cues to this contrast. Indeed, place cues for stops are said to be weaker especially in this word-final cluster environment (VC#CV), where release burst is not reliable. Place cue markers are therefore restricted to VC-formant transitions, and are more variable in this VC position than in the CV position (Wright 2004; Jun 2004: 61). Because these are periodic as well, though, they resist quite well to masking, especially in optimal listening environments. An independent reason for considering voicing as being equal to place with regard to clarity is that the results of the control experiment did not show increased error rate for voicing items as compared to place, what would have been the case if voicing cues were less perceptible than place cues.

The possibility that place and voicing cues differ in strength in this environment seems implausible, and therefore we tend to interpret the results of the French listeners as support for a language specific compensation mechanism. However, in order to establish more strongly that compensation reflects language-specific knowledge of processes, and not only the language-independent use of phonetic properties, we need to test English participants with the same experimental design as we used for French participants. We expect the English participants to behave differently from the French participants: they should compensate more for place than for voicing assimilation. In contrast, if compensation for assimilation is largely language independent and based on differences between voicing and place, then English participants would behave much like French participants, and compensate more for voicing than for place assimilation.

English has no voicing assimilation rule, but a rule of place assimilation affecting coronal stops. Experiment 2 involves American English participants.

# 4. Experiment 2

#### 4.1. Method

#### 4.1.1. Stimuli

Following the same method used for French stimuli, 32 English words were selected as target items. They were all monosyllabic adjectives, with a C(C)V (C)C structure. Target items were split into two sets of 16 items: the Voicing Set and the Place Set. They did not differ in average frequency (per million, according to both the *Phondic* Database, and the Kucera and Francis Word Frequency as given in the MRC Psycholinguistic Database (Wilson 1988): voicing: 151 (K&F: 144), place: 156 (K&F: 152), t(15)=.06, p>.1; see the complete list of items in the appendix). In the Voicing Set, all items ended in a final obstruent, which was voiced for half of the items, and unvoiced for the other half. Sixteen matched nonwords ([nw]) were constructed by switching the voicing feature of the final obstruents (e.g. /nais/ (nice) - /naiz/ [nw], or /bik/ (big) - /bik/ [nw]). In the Place Set, all final consonants were coronals, and half were stops, half were nasals. Sixteen matched nonwords were obtained by a change in the place feature (towards labial or velar) of the final consonant (e.g. /swi:t/ (sweet) - /swi:k/ [nw] or /plein/ (plain) - /pleim/ [nw]).

Each of the 32 target items was associated with a triplet of context words; In English context words were always nouns because the standard noun phrase in English is 'determiner adjective noun'. Each noun in a triplet corresponded to one of the experimental conditions as defined in Experiment 1: viable change condition, unviable change condition, and no-change condition. For the viable change condition, adjectives started with an obstruent agreeing with the nonword matched to the target item; the nature of agreement was the same as described for Experiment 1 (place, e.g. [fæp pʌpi] 'fat[nw] puppy' or voicing, e.g. [blæg glav] 'black<sub>[nw]</sub> glove'). Nouns in unviable change and no-change conditions for the Voicing Set started with a nasal or a liquid, consonants which are not involved in a voicing assimilation process. In the Place Set, nouns in both unviable change and no-change conditions started preferably with coronal sonorants, sometimes with coronal fricatives or the coronal stop [d] (the proportion of sonorants to obstruents is 5 to 3 in the place-stop list, and 2 to 6 in the place-nasal list). None of these consonants is involved in place assimilation processes in English. For the unviable change condition, the noun would be associated to the nonword matched with the target word (e.g. [blæg ɪæg] 'black<sub>[nw]</sub> rag'). In the no-change condition, it would be associated to the target word itself (e.g. [blæk ɪʌg] 'black rug'). In all 3 conditions, the association (pseudo)adjectives-noun always yielded a legal cluster in English. There were no coronal-labial or coronal-velar clusters, in order to avoid spurious effects due to violation of the place assimilation rule.

Finally, 3 sentence frames were constructed for each of the 32 target items following the same method as used for French sentences. This resulted in a total of 288 sentences. Three experimental lists were defined similarly to those used in Experiment 1.

The 288 test, 12 distractor and 18 training sentences were recorded by the fourth author, a female native speaker of American English (her speech corresponding to General American standard), living in New Haven, CT. Target words were recorded by a male native speaker of American English from New York. They were digitized at 16 kHz and 16 bits on an OROSAU22 sound board, and edited using the sound preparation software CoolEdit and Praat. Onsets of the carrier words and onsets of the following adjectives were marked through digital labels.

# 4.1.2. Procedure

The same procedure was used for the presentation of the stimuli. However, we used the *E-prime* stimuli presentation program (www.pstnet.com/e-prime/default.htm) instead of *Expe6*, due to hardware reasons. We also slightly modified the instructions: Participants had to press a "yes" button when they thought that the target was present in the sentence, and a "no" button otherwise.

# 4.1.3. Participants

Twenty-six Americans aged from 18 to 53, from the North-East of the U.S. (mainly New England), were tested on this experiment in Paris (France), in Providence (RI), New Haven (CT) and Amherst (MA). They all grew up monolingually, and came roughly from the triangle between Washington DC in the south, Chicago in the West and Boston in the North-East. None of them had previously taken part in a similar experiment and none of them reported any auditory deficits. They were paid for participating. All of them had late

experience with French, 19 of them were living in France by the time of testing. They were tested on French sentences in the same testing session, half of them before American English, half of them afterwards. Nine participants were highly fluent in French; the 17 remaining were beginning learners. Their results on French sentences are presented in Darcy, Peperkamp and Dupoux (2007).

#### 4.2. Control task: forced-choice judgment on spliced-out target words

As in Exp. 1, all target words were excised out of the carrier sentences and presented in isolation in a forced-choice categorization task. Sixteen American native speakers who did not participate in any of the previous studies were recruited to take part in this control experiment.

#### 4.3. Results

Table 5 presents the results of the forced-choice categorization task. Results include the whole data set (all items and participants).

Table 5: Different consonant judgment rate (%) across contrast type and condition for American English stimuli (n=14).

	Consonant differen	t from unchanged target (%):
	Place (SD)	Voicing (SD)
viable change	74 (3)	78 (1)
unviable change	78 (2)	77 (1)
no-change	23 (4)	17 (3)

As can be seen from Table 5, both change conditions yield an equal amount of "different consonant" responses, there is no significant difference between both change conditions (an ANOVA with subjects as random variable, restricted to both change conditions for place and voicing together, yielded no effect of condition (F(1,13) = 2.3, p > .1). Items in the no-change condition are judged largely as having a "similar consonant" (to 80% on average). Globally, contrast type has no effect either (F(1,13)=0.1, p>.6).

One striking difference compared to the French results (see Table 3) is the higher error rate visible in the American English categorization results. However, this difference is not central to our argument. The most critical result to be seen in both control experiments is the absence of any difference

in the "clarity of changes" between place and voicing targets, given the suggestion made above that voicing may have less clear cues, therefore favoring compensation over place targets. For both experiments, the answer is "no": in isolation, cues seem to be equal for voicing and place targets, and can not explain any observed differences in behavior. We return to the question of higher error rate in the discussion section for Experiment 2.

Using the same criterion for item rejection as in Experiment 1, 4 items were rejected, 1 in the Voicing set, 3 in the Place set.

Mean detection rate was subjected to two ANOVAs, one with participants, one with items as random variable. The participants ANOVA declares the between-subject factor group (1, 2 or 3), and two within-subjects factors: contrast (place vs. voicing) and condition (viable change vs. unviable change). As above, the by item ANOVA declared one between item factor contrast and one within-item factor, condition. In the participant analysis, no effects related to the factor group became visible. We observed a main effect of condition (F<sub>1</sub>[2,46]=468.9, p<.0001; F<sub>2</sub>[2,52]=181.9, p<.0001). The contrast effect was almost significant by participants, but not by items (F<sub>1</sub>[1,23]=3.5, p=.07; F<sub>2</sub> [1,26]=0.3, p>.1). We found an interaction between these two factors that was significant only by participants, marginal by items (F<sub>1</sub>[2,46]=40.2, p<.0001; F<sub>2</sub>[2,52]=2.7, p=.07), evidencing that they behave differently according to the contrast type (place vs. voicing) across conditions. Items display more variability, to which we will return below. Mean detection rates as a function of contrast and condition are displayed in Figure 3 (see below).

The viable change condition yielded 33% detection responses for the voicing contrast, and 46% for the place contrast, a significant difference by participants (effect size 13%,  $F_1[1,25]=32$ , p<.0001;  $F_2[1,26]=1.7$ , p>.1). The no-change condition was very similar in both contrasts (94% detection for place vs. 91% for voicing, effect size 3%,  $F_1[1,25]=1.8$ , p>.1;  $F_2[1,26]=0.6$ , p>.1). Detection rate in the unviable change condition was different between the place and the voicing contrast, significantly only by participants (11% vs. 18% for each contrast respectively, effect size 7%,  $F_1[1,25]=11.4$ , p<.01;  $F_2[1,26]=1.3$ , p>.1).

Reaction times for this experiment are presented in table 6. The analysis of mean reaction times restricted to the no-change and viable conditions for the place contrast, declaring the factors *group* (between-subject: 1, 2 or 3) and *condition* (within-subject: viable or no-change), revealed no effect of *group* (F[2,23]=1.2, p>.3), but a main effect of *condition* (F[2,46]=7.3, p<.002). Participants responded slower to the viable change condition compared to

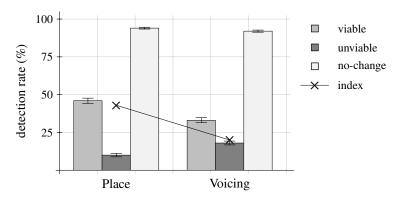


Figure 2: American listeners, American English sentences: Detection rate in each condition, for both place and voicing assimilation types, N = 26.

the no-change condition. No significant interaction between both factors has been observed.

*Table 6:* American listeners, American English sentences. Reaction times for each condition and each contrast.

Contrast	Condition	RT (ms.)	SD	
Place	Viable	2038	761	←
Place	Unviable	1889	768	F[1,25]=18, p<.0001
Place	No-change	1799	671	←
Voice	Viable	1958	770	
Voice	Unviable	1887	797	
Voice	No-change	1924	722	

Mean reaction times by subjects are comprised between 1285 ms and 2485 ms (mean RT for n=26: 1920 ms). Analyses of reaction times and detection values did not reveal any interaction of *RT* with the factors *condition* and *type*. We computed the compensation index according to formula (1) for each participant and each item (mean index is 20% for voicing and 43% for place), and used it as a dependent variable in an ANOVA first by participants, then by items. We declared *contrast* as a within-subject (respectively between-item) factor (place vs. voicing). We found a significant effect of *contrast* by participants (not by items), confirming that all subjects behave similarly and compensated significantly more for place assimilation than voicing assim-

ilation (F<sub>1</sub>[1,25]=57, p<.0001; F<sub>2</sub>[1,26]=2.7, p>.1). A t-test revealed that compensation for assimilation was not complete in the place condition, since the compensation index was significantly different from 100% ( $t_1(25)$ =14.6, p<.0001;  $t_2(12)$ =7.6, p<.0001). For the voicing contrast, the index differed significantly from zero ( $t_1(25)$ =5.7, p<.0001;  $t_2(14)$  =2.6, p<.05).

In this experiment, variability in items inhibited various significant effects in our analyses. Looking in greater detail at the pattern of this variability, we see that it mainly concerns voicing items. Place items behave homogenously. Voicing items display an asymmetry between voicing and devoicing items (e.g. *tough* vs. *big*). Compensation was higher for devoicing items: this means that detection (compensation) is higher for 'big fountain' bi[kf]ountain (34%) than for 'tough demand' tou[vd]emand (8%). The difference between indices for voicing vs. devoicing is significant by participants and items (F<sub>1</sub>[1,25]=23.5, p<.0001; F<sub>2</sub>[1,13]=5.6, p=.03). This could reflect compensation for a process of partial phonetic final devoicing applying in American English (Hyman 1975; Keating 1984: 293). Therefore, for Americans, only the *voicing* items are really non-native. When restricting the analysis to those items, the difference between indices for place and voicing (without *devoicing* items) is very significant by subjects and by items (F<sub>1</sub>[1,25]=34.5, p<.0001; F<sub>2</sub>[1,19]=8.8, p<.008).

Pooled analysis with both experiments on detection rates was performed in order to examine whether listeners' behavior is different across languages, and whether the factor *test-language* interacts with differences due to *contrast type* or to *condition*. Mean detection rate was subjected to a ANOVA with participants as random variable. We declare the factor *test-language* (French or English), as well as both crucial factors *condition* and *contrast*. The factor *test-language* yields no significant main effect, because the directions of effects cancel each other out (p>.7). *Test-language* interacts strongly with *contrast* ( $F_1[1,42]=54.4$ , p<.0001) and in a triple interaction also with *condition* ( $F_1[2,84]=91.4$ , p<.0001). This means that both experiments show an opposite pattern of detection, where the test-language strongly influences detection according to contrast type as well as condition.

#### 4.4. Discussion

The main result from Experiment 2 is that American participants listening to American English sentences showed a pattern of results symmetrical to the one observed for French participants listening to French. This result clearly supports the hypothesis that compensation procedures are partly governed by language-specific phonological knowledge. More precisely, we observed that American listeners compensated significantly for changes that correspond to the application of the place assimilation rule in American English. They also compensated for voicing, a process which is not native. However, further analysis of compensation differences between voicing and devoicing revealed that it might be necessary to consider "devoicing" as a native process rather than a non-native one, as opposed to "voicing", which can definitely be considered as non-native, and for which compensation is considerably reduced. In sum, the difference observed in compensation patterns between place and voicing provides further support for the assumption that compensation is driven by language-specific knowledge of phonological processes.

There is one important difference, though, between the French and the American experiments: the amount of compensation for the native rule was larger in French than in American English (65% vs. 46%). This could be due to the fact that place assimilation is less systematic in English than voice assimilation is in French (see Otake, Yoneyama, Culter and van der Lugt 1996, for a similar observation). In other words, the word recognition system for English listeners would be less used to cope with complete place assimilation, than it is used to with complete voicing assimilation in French. When a word is heard in a sentence context, compensation mechanisms are at work, and if they are presented with "optimal" stimuli for which they have been tuned for in the course of language acquisition, they are predicted to be most successful. In our case, the reality of English place assimilation makes our stimuli (because they present rather categorical changes) not optimal for the system to compensate for. This might be slightly different for French stimuli, if the categorical changes we present parallel more closely the reality of French voicing assimilation the system is used to. One could argue that the difference in compensation rate between English and French could originate in the degree of variability in phonetic cues in our stimuli, being more variable in English than in French. Even if this might indeed be present in the stimuli, as indicated by the difference in error rates in the categorization experiment (see below), it does not explain the different compensation patterns in Experiment 1 and 2, for two reasons. First, in case compensation would be the mere reflection of tolerance to cue-uncertainty, one would expect more tolerance in the English case, where cues seem to be more variable, more ambiguous than in French. The difference, however, goes in the opposite

direction. Second, one would not expect to find any difference due to condition between viable and unviable condition, i.e. the correct rejection in unviable context (context effects for the native process). For both experiments, the percentage of false alarms in this condition is similar and rather low: for French listeners, voicing yields 06% false alarms, for English listeners, place yields 11%, false alarms in the unviable context. The difference to the respective detection rates in viable conditions is striking (French 65%, English 46%).

The difference observed in the categorization results between English and French – where English listeners make more errors (around 20%) – could reflect a general tendency of phonetic cues to being more variable or less robust in English than in French, especially in this context (see discussion of Experiment 1). Numerous studies have shown systematic differences in the phonetic implementation of particular contrasts between French and English or other languages, with particular attention to the voicing distinction markers (Mack 1982; Kohler 1981, among others). To our knowledge, no study so far examined such systematic differences in cue variability or robustness between English and French, in word-final position before obstruents. Some indirect evidence is found in cross-linguistic studies of intelligibility in timecompressed speech. For a similar compression rate of 50% in English and French sentences, English listeners are able to recall only 44% of the syllables, whereas French listeners listening to compressed French show recallscores averaging 85% (Mehler et al. 1993; Sebastian-Gallés et al. 2000). In sum, there is a difference in the overall clarity of cues due to particularities of American English and the respective implementation of cues in the particular contexts used. But this cue-robustness difference does not explain the pattern of compensation found in Experiments 1 and 2.

# 5. General Discussion

The main goal of this study was to investigate the existence of a language-specific phonological knowledge involved in compensation for phonological assimilation. We conducted two experiments, testing two different phonological processes on different languages. Experiment 1 investigated compensation in French native speakers on French stimuli: participants showed more compensation for the voicing contrast than for the place contrast, but only in viable contexts for French voicing assimilation. In

Experiment 2, speakers of American English were tested on American English sentences using the same task: participants compensated more for the place contrast than for the voicing contrast, and only in viable contexts for English place assimilation, thereby presenting symmetrical results from Experiment 1. All these results are supported by additional control experiments, carried out to eliminate the possibility that results could be due to unintentional bias in the stimuli. Excised targets were presented in a forced choice task to new listeners of each language. Words in both change conditions for place and voicing equally were perceived as being different from the form of the target in isolation, meaning that changes were perceived clearly.

Therefore, higher detection rates visible in viable change conditions for the respective native processes is attributable to phonological compensation for assimilation, involving a language-specific knowledge of the processes at work in the language, rather than the language independent use of phonetic cues. Additional support for this view is given by the results presented in Darcy, Peperkamp and Dupoux (2007): In these experiments, listeners – who were also L2 learners of the other language - were presented to both languages, French and American English. French listeners who were beginning learners of English showed the same behavior on both languages, compensating more for voicing assimilation than for place assimilation (69% vs. 40% in French, 64% vs. 37% in English, difference between voicing and place significant). Similarly, American English listeners, who were beginning learners of French (the same participants as in this Experiment 2), showed upon hearing French sentences the same pattern of compensation as they show here, hearing American English sentences (voicing vs. place: 32% vs. 49% in French, and 33% vs. 46% in American English). The fact that they do show a different pattern of compensation on the same stimuli as did the respective native speakers of that language is to be interpreted in the way that these learners still did not acquire the compensation mechanism for that specific process in L2. It excludes the possibility that the observed difference is the result of unintended bias in the stimuli, as here the manipulated variable is only the listener's L1s.

These results converge in showing that compensation is not driven by the unintended acoustic differences between both languages, but rather by the phonological knowledge of the way assimilation works in one language. Because lexical compensation mechanisms are not sensitive to phonological context, such mechanisms alone cannot explain our results. Similarly, phonetic compensation mechanisms do not rely on familiarity with specific phonological processes, and therefore cannot explain our results either. Nevertheless, we do not think that such mechanisms must necessarily be ruled out. In fact, our data are compatible with the existence of such mechanisms alongside a phonological language-specific, context-sensitive mechanism. The three types of mechanisms would operate at distinct levels of representation, and would all influence subjects' responses in a given task.

To elaborate on our proposal, we postulate that beyond basic auditory processing, speech is initially represented in a universal phonetic format; at this level, language independent mechanisms such as feature parsing may operate (Gow 2001, 2002a; Gow and Im 2004; Gow and Zoll 2002). At the next stage of processing, speech is encoded in a language-specific phonological format; at that level, language-specific mechanisms such as phonological inference to compensate for phonological alternations may operate (our data, Gaskell and Marslen-Wilson 1996, 1998). Finally, such phonological representations are matched against lexical representations for word recognition, in the manner described by multiple activation models (Marslen-Wilson 1987; Marslen-Wilson and Welsh 1978; McClelland and Elman 1986; Norris 1994). Behavioral responses can be influenced by any of these processing levels (as predicted by a multiple readout model). Which level has the greatest influence on behavioral responses depends on many factors, including the task (word identification vs. discrimination), and the nature of the stimuli: whole sentences vs. isolated words or syllables; words vs. nonwords; with large acoustic variations (e.g. across different speakers) or not.

Postulating multiple and cascading compensation mechanisms makes it possible to reinterpret apparently conflicting results from the literature. In the present experiments, we have maximized our chances of observing effects reflecting phonological processing by using words embedded in sentences, and identification across different speakers. Other studies that have used discrimination of nonwords produced by the same speaker have obviously maximized the influence of the phonetic processing level, thereby explaining their finding of universal patterns of compensation.

Gow (2002b) and Gow and Im (2004) reported language independent low-level effects of compensation for voicing assimilation in Hungarian, whether the subjects were native speakers or not (e.g., Korean listeners). These results seem in contradiction with ours. However, it should be noted that these studies used different stimuli from ours: Rather than presenting complete assimilations, they presented ambiguous (multiply articulated) segments, thereby favoring feature parsing. Furthermore, we would like to argue that detect-

ing a word within a sentence across voice changes, the method we used, should force listeners to recode the stimuli at the phonological level and give greater weight to that level in the decision process, as fine acoustic/phonetic details are irrelevant and even interfere with this task. On the other hand, detecting phonemes within bi-syllables without much acoustic variation (their task) may well be more easily performed by paying attention to the phonetic level of representation. According to this interpretation, both our results and those of Gow (2002b) and Gow and Im (2004) can be explained by the same multiple readout model; simply, their experiments induce responses predominantly based on phonetic representations and therefore reflect universal phonetic processes, whereas our experiments (and those of Gaskell and Marslen-Wilson 1996, 1998) induce responses based primarily on phonological representations, therefore reflecting language-specific abstract phonological processes.

Restated within this framework, our results show that the phonological level is responsible for most of the effects observed in our experiments, as it is the only level where both context-sensitive and language-specific effects may arise. But even before this phonological inference mechanism applies, some degree of universal feature parsing may occur, prompted by e.g. homorganic clusters. This effect could explain the small, but non-null compensation for voicing assimilation by English listeners, and for place assimilation by French listeners. Finally, lexical compensation mechanisms may also have played a role in our experiments. Such a mechanism would generate a global tendency to detect the target based on phonological proximity. It could be responsible in part for the error rate in the unviable context (across the experiments from 6% to 18%).

Although our results make clear that a context-sensitive phonological knowledge of processes is at work, they leave open the question of whether such a mechanism operates at a strictly sub-lexical level (i.e., before lexical access) or whether it is implemented as a more sophisticated, contextsensitive version of a lexical compensation mechanism. Further research involving nonwords will be needed to answer that question.

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# **Appendix**

French words used in experiment 1

American words used in experiment 2

#### **Notes**

- Here, [nw] means that the word underwent an assimilatory change, and became a nonword.
- 2. This constraint made it necessary to include geminate clusters in the place set, otherwise the place agreement would have also produced violation of the voicing agreement constraint in French. In order to balance both sets, we also included the same number of geminates in the voicing set. The speaker produced all geminates as a single long consonant, without release in between. The same constraint has been obeyed for English stimuli sets.
- 3. For this and the following experiments, all speakers were trained until they are familiar with the nonwords, and able to pronounce all sentences in a natural way. We avoided cross splicing due to the difficulties to match whole sentences with respect to prosody and speech rate.
- 4. Reaction times were collected for a "yes response". Restriction to these two conditions is due to the fact that only those conditions present sufficient response rates in order to allow for a valid estimation of reaction times

Table 7: French words used in experiment 1 (Place).

Sontext Viable Context		feroce 'ferocious' [feros] poilue 'hairy' [pwaly:]	fermee 'closed' [fɛʁmeː] carrée 'square' [kaʁeː]	rayées 'striped' [ßejer.] pointue 'spiky' [pwɛ̃tyr.]	sauvage 'wild' [sovaʒ] craintive 'frightened' [kuɛ̃tiv]	sauvage 'wild' [sovaʒ] brumeuse 'brumous'	$[b \text{\tt kym} \phi \text{\tt z}]$	vulgaire 'vulgar' [vylgɛʁ] bourru 'grouchy' [buʁy]	l' [ʁus] pâle 'pale' [pal]	ılu' [zulu] guerrière 'combat' [gɛʁjɛʁ]	helpful' bavard 'talkative' [bavaʁ]		sucrées 'sweet' [sykʁe:] pourries 'rotten' [puʁiː]	respected' paresseuse 'lazy' [paʁɛsøz]		discrète 'discreet' [diskuɛt] gracieuse 'graceful'	[gʁasjøz]	célèbre 'famous' [selɛbʁ] baroque 'baroque' [baʁɔk]	utdated' bétonné 'concrete' [betone]		l'Erwaiall princier 'princely' [pressie]	
Unviable Context		feroce 'fer	lermee 'clo	rayées 'stri	sauvage 'w	sauvage 'w		vulgaire 'v	rousse 'red' [sus]	zoulou 'Zulu' [zulu	serviable 'helpful'	[servjabl]	sucrées 'sw	respectée 'respected'	[Bespekter]	discrète 'di		célèbre 'fa	démodé 'outdated	[demode]	royal 'royal' [ʁwajal]	
No-change Context	Place	nuisible 'cumbrous' [nqizibl]	marron 'brown' [maʁɔ̃]	montantes 'high' [mɔ̃tɑ̃t]	malade 'sick' [malad]	lointaine 'remote' [lwɛ̃tɛn]		raciste 'racist' [Basist]	jaune 'yellow' [30n]	locale 'local' [lokal]	rusé 'wily' [Byze]		juteuses 'juicy' [3ytøz]	généreuse 'generous'	[ʒeneʁøz]	légère 'light' [leʒɛʁ]		romaine 'Latin' [somen]	rénové 'renovated' [senove]		rocheux 'rocky' [ʁo∫ø]	
Changed form		[daq]	[bwak]	[dcq]	[ʃwɛk]	[dym]		[gib]	[lym]	[6cm]	[mwam]		[bsym]	[REM]		[Rig]		[Rqim]	[stab]		[trom]	
Un- changed form		[þɛt]	[bwat]	[bot]	[ʃwɛt]	[dyn]		[bid]	[lyn]	[pcm]	[mwan]		[beyn]	[REn]		[Rid]		[Rqin]	[stad]		[tron]	
Gloss		(beast)	(box)	(boot)	(owl)	(dune)		(guide)	(moom)	(fashion)	(monk)		(mnld)	(dneen)		(wrinkle)		(ruin)	(stadium)		(throne)	
Target		bête	boîte	botte	chouette	dune		guide	lune	mode	moine		prune	reine		ride		ruine	stade		trone	

Table 8: French words used in experiment 1 (Voicing).

Target	Gloss	Un- changed form	Changed form	No-change Context	Unviable Context	Viable Context
				Voicing		
badge	(badge)	[bad3]	[bat]]	métallique 'metallic' [metalik]	ravissant 'charming' [Bavisɑ]	parfumé 'perfumed' [paʁfyme]
cabe	(cape)	[kap]	[kab]	longue 'long' [lɔ̃g]	neuve 'new' [nøv]	grise 'grey' [griz]
chèdne	(check)	[sk]	[63J]	mensuel 'monthly' [māsqɛ1]	reçu 'received' [ʁəsy]	volé 'stolen' [vole]
conche	(layer)	[kuʃ]	[ku3]	neigeuse 'snow' [ne3øz]	marron 'brown' [maʁɔ̃]	jaunie 'yellowed' [30ni:]
conde	(elbow)	[knd]	[kut]	meurtri 'injured' [mœʁtʁi]	raidi 'rigid' [ʁɛdi]	tordu 'twisted' [tady]
cuve	(tank)	[kyv]	[kyf]	mobile 'mobile' [mobil]	remplie 'full' [ʁɑ̃pliː]	fendue 'ripped' [fādy:]
faute	(error)	[fot]	[fod]	majeure 'major' [mazœs]	légère 'light' [leʒɛʁ]	discrète 'discreet' [diskret]
globe	(globe)	[qclb]	[dclb]	miroitant 'mirroring'	lumineux 'luminous'	pailleté 'sequined' [pajəte]
				[miʁwatɑ̃]	[lyminø]	
lac	(lake)	[lak]	[lag]	limpide 'clear' [lɛ̃pid]	nordique 'Nordic' [nɔʁdik]	gelé 'frosted' [ʒəle]
lave	(lava)	[lav]	[laf]	mouvante 'moving' [muvɑ̃t]	rugueuse 'cragged' [ʁygøz]	pateuse 'pasty' [patøz]
nappe	(tablecloth) [nap]	[nap]	[nab]	rayée 'striped' [Bɛjeː]	rustique 'rustic' [Bystik]	brodée 'embroidered'
						[brode:]
neige	(snow)	[£3u]	[neʃ]	mouillée 'wet' [mujer.]	marron 'brown' [maʁɔ̃]	poudreuse 'powder'
						[Бодвфд]
nuage	(clond)	[nqa3]	[nqaʃ]	rosés 'rosy' [Boze]	nacrés 'pearly' [nakве]	chargés 'loaded' [ʃaʁʒe]
plaque	(plate)	[plak]	[plag]	noircie 'blacked' [nwarsi:]	rouillée 'rust' [ʁujeː]	brillante 'shiny' [bʁijɑ̃t]
robe	(dress)	[qca]	[dca]	rouge 'red' [ʁuʒ]	noire 'black' [nwaʁ]	sale 'dirty' [sal]
route	(road)	[Rut]	[Rud]	magnifique 'beautiful'	nationale 'main' [nasjonal]	dangereuse 'dangerous'
				[manifik]		[dűzakóz]

Table 9: American words used in experiment 2 (Place).

Target	Unchanged form	Changed form	No-change Context	Unviable Context	Viable Context
			Place		
bad	[pæq]	[pæp]	[dɪʃ] dish	[lʌnʃ] lunch	[bɪə] beer
fat	[fæt]	[fæb]	[maŋki:] monkey	[skwinl] squirrel	[papi] puppy
great	[freet]	[grerk]	[fart] fight	[mætʃ] match	[kru:z] cruise
mad	[mæd]	[mæb]	[mɒðɜː] mother	[dɒtɜː] daugther	[bɹaðɜː] brother
red	[per]	[fear]	[neklers] necklace	[lɪpstɪk] lipstick	[glæsɪz] glasses
sad	[pæs]	[sæb]	[mʊviː] movie	[levan] lovel	[bəleɪ] ballet
sweet	[swirt]	[swi:k]	[[aklett] chocolate	[lɪkjɜː] liqueur	[kʌkteɪl] cocktail
wet	[wet]	[meb]	[[mːz] shoes	[spks] socks	[pænts] pants
clean	[kli:n]	[kli:m]	[fɔːk] fork	loods [u:nds]	[pæn] pan
fun	[tvn]	[fxt]	[der] day	[nart] night	[gem] game
green	[gaim]	[gni:ŋ]	[veiz] vase	[tʃæː] chair	[kvp] cup
lean	[li:n]	[lim]	[lam] line	[ʃeɪp] shape	[bæk] back
own	[une]	[mne]	[laɪf] life	[tʃɔɪs] choice	[plæn] plan
plain	[plem]	[plenj]	[t[æpəls] chapels	[tʃɜːtʃɪz] churches	sopuoo [zoepuax]
tan	[tæn]	[tæm]	[ska:f] scarf	[ʃɜːt] shirt	[belt] belt
thin	$[\theta m]$	$[\theta m]$	[nəʊtbʊk] notebook	[li:flet] leaflet	[pækrt] packet

Table 10: American words used in experiment 2 (Voicing).

Target	Unchanged form	Changed form	No-change Context	Unviable Context	Viable Context
			Voicing		
big	[bɪd]	[bik]	[larthaos] lighthouse	[IIV3;] river	[faontn] fountain
blind	[blamd]	[blamt]	[lerdi] lady	[lɔːjɜː] lawyer	[tfelist] cellist
brave	[bleiv]	[Jrent]	[məzi:n] marine	[larfga:d] lifeguard	[faɪɜːmæn] fireman
drab	[dæb]	[dærp]	[lartıŋ] lighting	[merkʌp] make-up	[pemtn] painting
poog	[god]	[got]	[lʊks] looks	[lak] luck	[frendz] friends
huge	[hju:d3]	[hju:tʃ]	[man3:] manor	[mænʃən] mansion	[fblest] forest
mild	[marld]	[marlt]	[narts] nights	[sem] rain	[sp.m] spring
wise	[warz]	[wais]	[li:d3:] leader	[aarts:] writer	[ti:tʃ3:] teacher
best	[best]	[pezd]	[mu:v] move	[uvr] run	[der] day
black	[blæk]	[blæg]	gur [gar]	[aæg] rag	[glav] glove
cheap	[tʃiːp]	[tʃiːb]	[lʌnʃ] lunch	[m:m] room	[danjk] drink
flat	[flæt]	[flæd]	[na:ft] raft	[ak] rock	[dæm] dam
French	[frent]]	[frend3]	[merd] maid	[n3:s] nurse	[gard] guide
nice	[nars]	[narz]	[mædəvz] meadows	[setlnjz] railings	[ga:dənz] gardens
thick	$[\theta tk]$	[θrg]	edor [dver]	[lam] line	[bɑː] bar
tough	[txf]	[tav]	[lesən] lesson	[nkwest] request	[dmænd] demand

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